

PTO/SB/80 (01-06)

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**POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO**

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b).

I hereby appoint:

☒ Practitioners associated with the Customer Number:

57380

**OR**

☐ Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number	Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(b) to:

☒ The address associated with Customer Number:

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**OR**

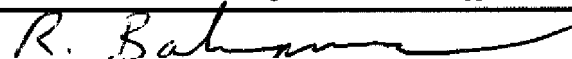
<input type="checkbox"/> Firm or Individual Name			
Address			
City	State	Zip	
Country			
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Assignee Name and Address: MERRILL LYNCH & CO., INC.  
222 BROADWAY  
NEW YORK, NEW YORK 10038

**A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.**

**SIGNATURE of Assignee of Record**

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Signature		Date	7/31/2007
Name	Robert Bahrcampour	Telephone	212-670-0421
Title	Assistant Secretary / Chief Intellectual Property Counsel		

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Birle JR., et al.Application No./Patent No.: 10064745 Filed/Issue Date: 08/12/2002

Entitled: Convertible financial instruments with contingent payments

MERRILL LYNCH & CO., INC., a Corporation  
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
 (The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

**OR**

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: BIRLE, JR., JAMES R To: MERRILL LYNCH & CO. INC.  
 The document was recorded in the United States Patent and Trademark Office at  
 Reel 013367, Frame 0586, or for which a copy thereof is attached.
2. From: DOLAN, DAVID K To: MERRILL LYNCH & CO. INC.  
 The document was recorded in the United States Patent and Trademark Office at  
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3. From: EDWARDS, JEFFREY N To: MERRILL LYNCH & CO. INC.  
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☒ Additional documents in the chain of title are listed on a supplemental sheet.

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

_____ /s/	_____ 08/01/2007
Signature	Date
_____ Carl Oppedahl	_____ 970-468-8600
Printed or Typed Name	Telephone Number
_____ Attorney	
Title	

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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10/064,745

Convertible financial instruments with contingent payments

08-01-2007::12:52:19

## Patent Assignment Abstract of Title

## Total Assignments: 19

Application #: 10064745

Filing Dt: 08/12/2002

Patent #: NONE

Issue Dt:

PCT #: NONE

Publication #: US20030130941

Pub Dt: 07/10/2003

**Inventors:** James R. Birlle JR., David K. Dolan, Jeffrey N. Edwards, Yonathan Epelbaum, Frederick J. Fiddle, Emerson P. Jones, Stuart C. Kaperst, Todd K. Kaplan, Daniel Y. Kerstein, Dragomir Kolev, Richard P. Luciano, Thomas H. Patrick JR., Paul A. Pepe, Eric Steifman, Russell L. Stein, Brennan J. Warble, Richard J. Green, Robert Rudnick, Frank R. Strong

**Title:** Convertible financial instruments with contingent payments

## Assignment: 1

Reel/Frame: 018708 / 0418

Received: 01/04/2007

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Pages: 5

Conveyance: EMPLOYEE AGREEMENT

Assignor: JONES, EMERSON PHILIP

Exec Dt: 03/29/1999

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## Assignment: 2

Reel/Frame: 013367 / 0586

Received: 01/21/2003

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Pages: 3

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: BIRLE, JR., JAMES R

Exec Dt: 11/06/2002

Assignee: MERRILL LYNCH & CO. INC.  
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## Assignment: 3

Reel/Frame: 013367 / 0620

Received: 01/21/2003

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Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: DOLAN, DAVID K

Exec Dt: 11/06/2002

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## Assignment: 4

Reel/Frame: 013367 / 0651

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Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: EDWARDS, JEFFREY N

Exec Dt: 11/06/2002

**Assignee:** MERRILL LYNCH & CO. INC.  
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**Assignment: 5**

**Reel/Frame:** 013367 / 0749      **Received:** 01/21/2003      **Recorded:** 01/21/2003      **Mailed:** 01/21/2003      **Pages:** 3

**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

**Assignor:** KAPERST, STUART C

**Exec Dt:** 11/06/2002

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**Assignment: 6**

**Reel/Frame:** 013367 / 0820      **Received:** 01/21/2003      **Recorded:** 01/21/2003      **Mailed:** 01/21/2003      **Pages:** 3

**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

**Assignor:** KERSTEIN, DANIEL Y

**Exec Dt:** 11/06/2002

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**Assignment: 7**

**Reel/Frame:** 013367 / 0853      **Received:** 01/21/2003      **Recorded:** 01/21/2003      **Mailed:** 01/21/2003      **Pages:** 3

**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

**Assignor:** KOLEV, DRAGOMIR K

**Exec Dt:** 11/06/2002

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**Assignment: 8**

**Reel/Frame:** 013367 / 0945      **Received:** 01/21/2003      **Recorded:** 01/21/2003      **Mailed:** 01/21/2003      **Pages:** 3

**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

**Assignor:** PATRICK, JR., THOMAS H

**Exec Dt:** 11/06/2002

**Assignee:** MERRILL LYNCH & CO. INC.  
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**Assignment: 9**

**Reel/Frame:** 013368 / 0066      **Received:** 01/21/2003      **Recorded:** 01/21/2003      **Mailed:** 01/21/2003      **Pages:** 3

**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

**Assignor:** STEIN, RUSSELL L

**Exec Dt:** 11/06/2002

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**Assignment: 10**

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**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

**Assignor:** STEIFMAN, ERIC

**Exec Dt:** 11/06/2002

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**Assignment: 11**

**Reel/Frame:** 013368 / 0103      **Received:** 01/21/2003      **Recorded:** 01/21/2003      **Mailed:** 01/21/2003      **Pages:** 3

**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

**Assignor:** WARBLE, BRENNAN J

**Exec Dt:** 11/06/2002

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**Assignment: 12**

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**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

**Assignor:** RUDNICK, ROBERT A

**Exec Dt:** 11/13/2002

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**Assignment: 13**

**Reel/Frame:** 013368 / 0208      **Received:** 01/21/2003      **Recorded:** 01/21/2003      **Mailed:** 01/21/2003      **Pages:** 3  
**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).  
**Assignor:** STRONG, FRANK R      **Exec Dt:** 11/15/2002  
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**Assignment: 14**  
**Reel/Frame:** 013367 / 0786      **Received:** 01/21/2003      **Recorded:** 01/21/2003      **Mailed:** 01/21/2003      **Pages:** 3  
**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).  
**Assignor:** KAPLAN, TODD K      **Exec Dt:** 11/20/2002  
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**Assignment: 15**  
**Reel/Frame:** 013367 / 0938      **Received:** 01/21/2003      **Recorded:** 01/21/2003      **Mailed:** 01/21/2003      **Pages:** 3  
**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).  
**Assignor:** LUCIANO, RICHARD P      **Exec Dt:** 01/13/2003  
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**Assignment: 16**  
**Reel/Frame:** 013368 / 0153      **Received:** 01/21/2003      **Recorded:** 01/21/2003      **Mailed:** 01/21/2003      **Pages:** 3  
**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).  
**Assignor:** GREEN, RICHARD J      **Exec Dt:** 01/13/2003  
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**Assignment: 17**  
**Reel/Frame:** 013367 / 0663      **Received:** 01/21/2003      **Recorded:** 01/21/2003      **Mailed:** 01/21/2003      **Pages:** 3  
**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).  
**Assignor:** EPELBAUM, YONATHAN      **Exec Dt:** 01/14/2003

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**Assignment: 18**

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**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

**Assignor:** FIDDLE, FREDERICK J

**Exec Dt:** 01/14/2003

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**Assignment: 19**

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**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

**Assignor:** PEPE, PAUL A

**Exec Dt:** 01/14/2003

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